

# Distributed Algorithms

---



## Models of Distributed Systems



# Models

- What is a model?
  - An abstraction of the relevant properties of a system
- Why construct or learn a model?
  - Real world is complex, a model makes assumptions and simplifications
  - Reason about realities in the model
  - Helps us tackle the complexities
  - The model and its properties are expressed in precise mathematical symbols and relationships



# Modeling

- What can modeling do for us?
  - Useful when *solving* problems (e.g. designing an algorithm)
  - When *predicting* behavior (e.g. cost in number of messages)
  - When *evaluating* and *verifying* a solution (e.g. simulation)
- Very important skill

# Modeling

- Different types of models:
  - **Continuous** models
    - Often described by differential equations involving variables which take real (continuous) values
  - **Discrete event** models
    - Often described by state transition systems: system evolves, moving from one state to another at discrete time steps
- This course: *a model of distributed computing (discrete)*

# Models of distributed computing

- Biggest challenge when modelling is to choose the *right level of abstraction!*
- The model should be powerful enough to construct *impossibility proofs*
  - A statement about all possible algorithms in a system
- Our model should therefore be:
  - *Precise*: explain all relevant properties
  - *Concise*: explain a class of distributed systems compactly

# Input/output Automata

---





# Input/Output Automata

- General **mathematical modeling framework** for reactive system components
- Designed for describing systems in a **modular** way
- Supports description of individual system components, and how they **compose** to yield a larger system
- Supports description of systems at different **levels of abstraction**

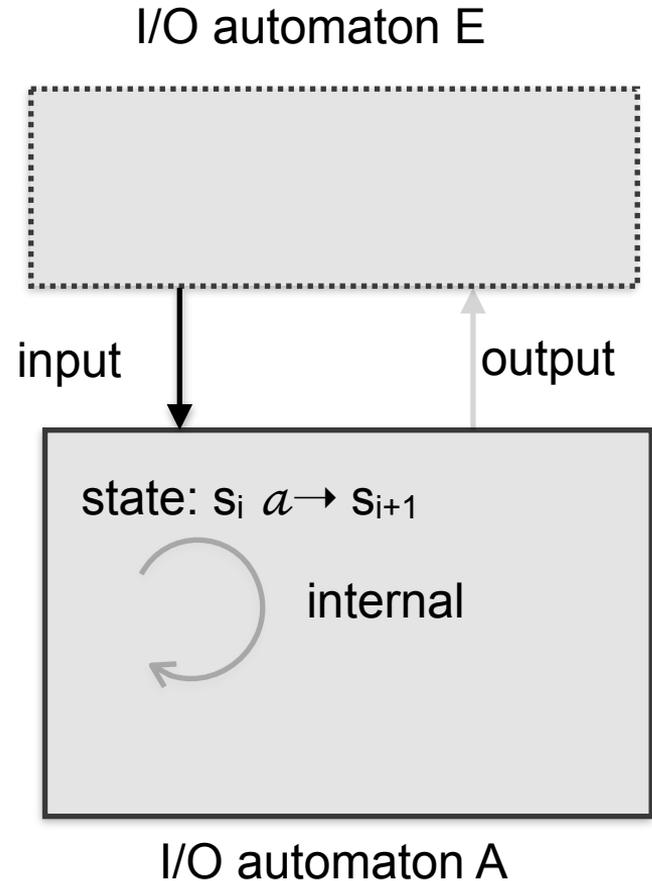
# I/O Automata

- A distributed algorithm (system) is specified as an **Input/Output automaton**
- I/O automata **models** concurrent interacting components
  - Suitable for components that **interact asynchronously**
- Each I/O automaton is a reactive state-machine:
  - Interacts with environment through actions
  - Makes transitions (state, action, state)
    - $\langle s_i, a, s_{i+1} \rangle$
- Actions, Events
  - Input, Output, Internal



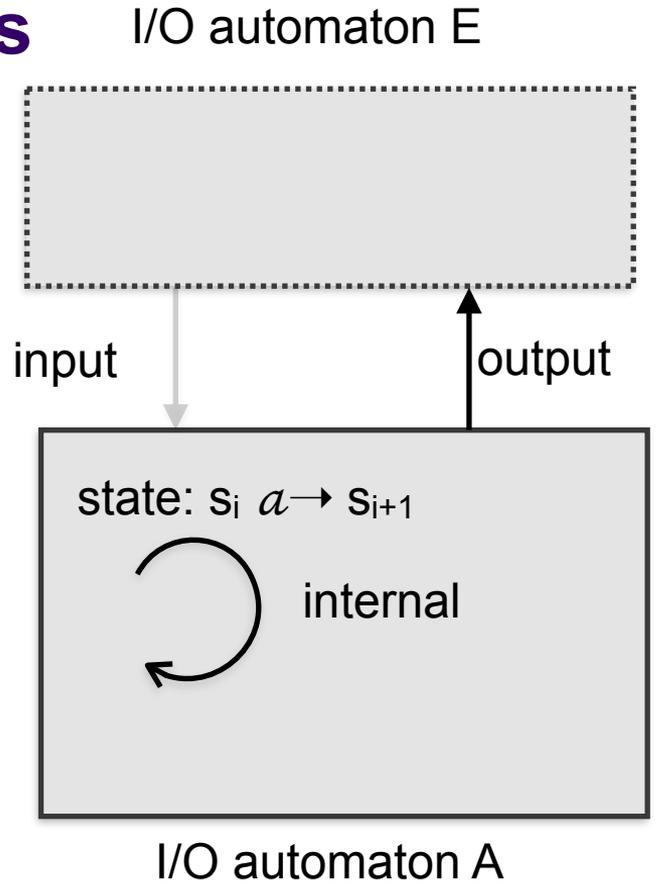
# Input Actions

- Actions are named  $a_1, a_2, \dots$
- **Input** of automaton A
  - Always enabled
  - Environment E with output action  $a$  can always invoke input action  $a$  of Automaton A
  - E and A both make a **simultaneous transition**
- A **does not control** its input action  $a$



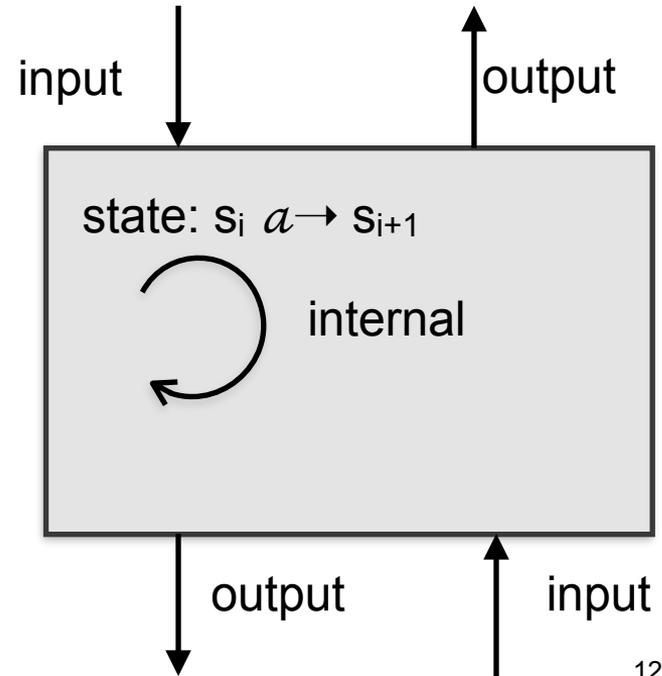
# Internal and Output Actions

- Actions are named  $a_1, a_2, \dots$
- **Output, Internal** actions of automaton A
  - Conditioned on A's state
  - Can be blocked until the condition is true
- A **controls** its internal and output actions



# Input/Output Automaton

- Labeled State transition system
  - Transitions labeled by actions
- Actions classified as input, output, internal
  - Input, output are **external**
  - Output, internal are **locally controlled**.



# Signature, formally

- Signature  $S$ 
  - $in(S)$ ,  $out(S)$ , and  $int(S)$
  - Input, output and internal actions
- $in(S) \cup out(S) \cup int(S)$  disjoint
- External actions  $ext(S)$ 
  - $in(S) \cup out(S)$
- Locally controlled actions  $local(S)$ 
  - $out(S) \cup int(S)$

# Automaton A is a labeled transition System

- **states(A)**
  - a (not necessarily finite) set of states
- **start(A)**
  - a nonempty subset of states(A)
- **trans(A)** a state-transition relation
  - $\text{trans}(A) \subseteq \text{states}(A) \times \text{acts}(\text{sig}(A)) \times \text{states}(A)$
- For every state  $s$  and every **input action**  $a$ , there is a transition  $(s, a, s') \in \text{trans}(A)$
- **Tasks:** local actions are partitions into groups



# Executions

- Running an I/O automata generate executions
- Execution
  - A **alternating sequence** of state and actions
  - The execution of an action is called **an event**
- Fair Execution
  - Execution where **internal and output actions** are given infinitely many chances to run



# Traces (behaviors)

- **External actions**
  - Input and output actions
- “Interesting” behavior of I/O automata is captured by its external actions during executions
- **(Fair) Trace**
  - **Subsequence** of fair execution that consists of external actions
- The **set of all traces** capture interesting behavior of I/O Automata

# Automata A Solved P

- A problem  $P$  (a distributed abstraction) will be defined as a set of sequences of external actions
- Automaton  $A$  **solves** problem  $P$ 
  - The set of fair behaviors of  $A$  is a subset of  $P$

# An asynchronous networked system

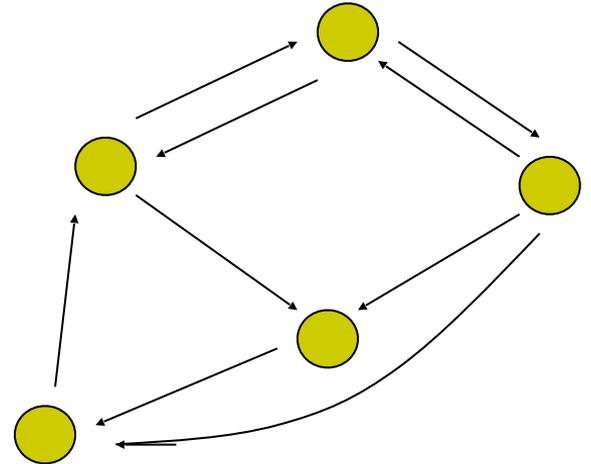


---

Example

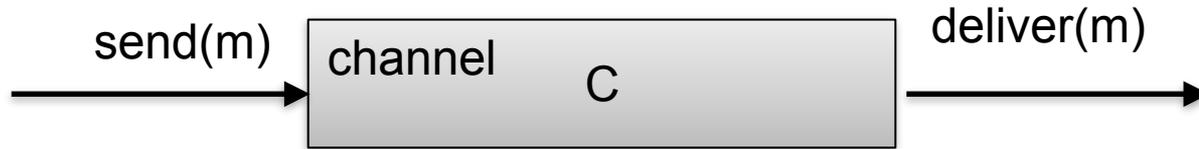
# an asynchronous networked system

- An synchronous network
- **Processes** communicate via **channels**
- Processes and channels are
  - “Reactive” components that interact with their environments via input and output actions
  - modelled by I/O automata



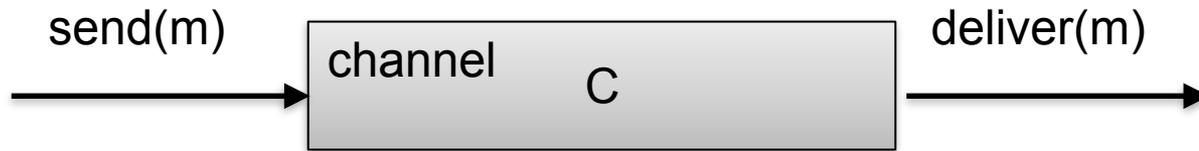


# Example: Channel Automaton



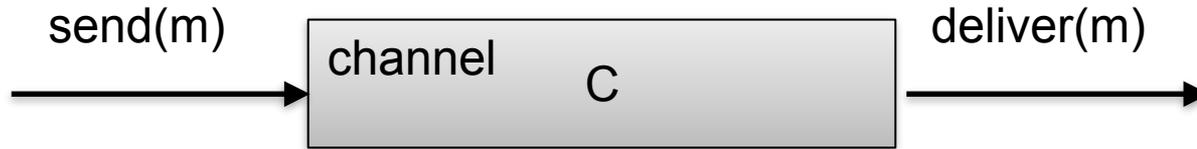
- Reliable unidirectional FIFO channel between two processes
  - Fix set of messages  $M$
- Signature
  - Input actions:  $\text{send}(m)$ ,  $m \in M$
  - Output actions:  $\text{deliver}(m)$ ,  $m \in M$
  - No internal actions
- States
  - *queue*, a FIFO queue of elements of  $M$ , initially empty

# Example: Channel Automaton



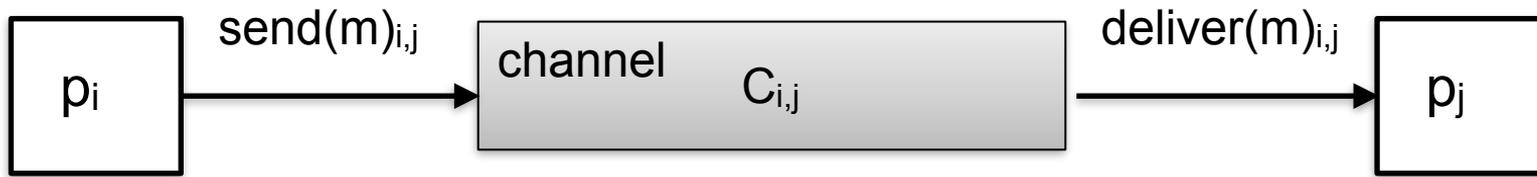
- Transitions
  - *send(m)*:
    - *Effect: add m to(end of) queue*
  - *deliver(m)*:
    - *precondition: m is first (head) in queue*
    - *Effect: remove m from queue*
- Tasks: all deliver actions is one task
- Transitions are described using “transition definitions”, which are little code fragments

# Example: Channel Automaton



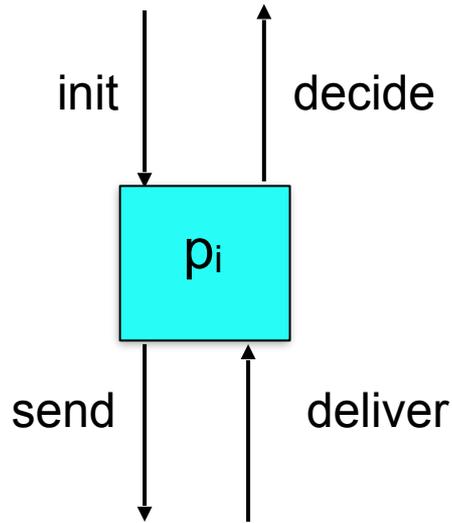
- Transitions
  - *send(m)*:
    - *Effect: add m to(end of) queue*
  - *deliver(m)*:
    - *precondition: m is first (head) in queue*
    - *Effect: remove m from queue*
- Transitions are described using “transition definitions”, which are little code fragments
- Each transition definition describes a set of transitions, for designated actions (grouped by type of action)

# Example: Channel Automaton



- Add subscripts to indicate particular endpoints
- Here, the channel is used to connect processes  $i$  and  $j$ .
- Transitions
  - $\text{send}(m)_{i,j}$ :
    - *Effect: add  $m$  to(end of) queue*
  - $\text{deliver}(m)_{i,j}$ :
    - *precondition:  $m$  is first (head) in queue*
    - *Effect: remove  $m$  from queue*

# A process



A simple **agreement** protocol

- Inputs arrive from the outside
- Process sends/receives values, collects vector of values, one for each process
- When vector is filled, outputs a decision obtained as a function  $f$  on the vector
- Can get new inputs, change values, send and output repeatedly
- Tasks for:
  - Sending to each individual neighbor
  - Outputting decisions





# Input/output Automata

---



Executions

---



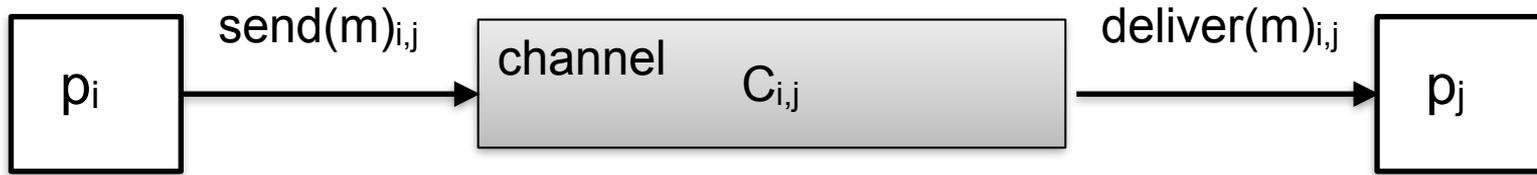
# Remarks

- A **step** taken by automaton  $A$  is an element of  $\text{trans}(A)$
- An action  $a$  is **enabled** in state  $s$  if  $\text{trans}(A)$  contains a step  $(s, a, s')$  for some  $s'$
- I/O automata are always **input-enabled**
  - Input actions are enabled in every state
  - An automaton cannot control its environment

# Executions

- An I/O automaton executes as follows:
  - Start at some start state
  - Repeatedly take step from current state to new state.
- Formally, an **execution** is a finite or infinite sequence:
  - $s_0 a_1 s_1 a_2 s_2 a_3 s_3 a_4 s_4 a_5 s_5 \dots$  (if finite, ends in state)
  - $s_0$  is a start state
  - $(s_i, a_{i+1}, s_{i+1})$  is a step (i.e., in trans)

# Executions: Channel Automaton



- Let  $M = \{1,2\}$
  - Three possible executions
  - Any prefix of an execution is also an execution
1.  $[\lambda], \text{send}(1)_{i,j}, [1], \text{deliver}(1)_{i,j}, [\lambda], \text{send}(2)_{i,j}, [2], \text{deliver}(2)_{i,j}, [\lambda]$
  2.  $[\lambda], \text{send}(1)_{i,j}, [1], \text{deliver}(1)_{i,j}, [\lambda], \text{send}(2)_{i,j}, [2]$
  3.  $[\lambda], \text{send}(1)_{i,j}, [1], , \text{send}(1)_{i,j}, [11], , \text{send}(1)_{i,j}, [111], \dots$

# Execution Fragments

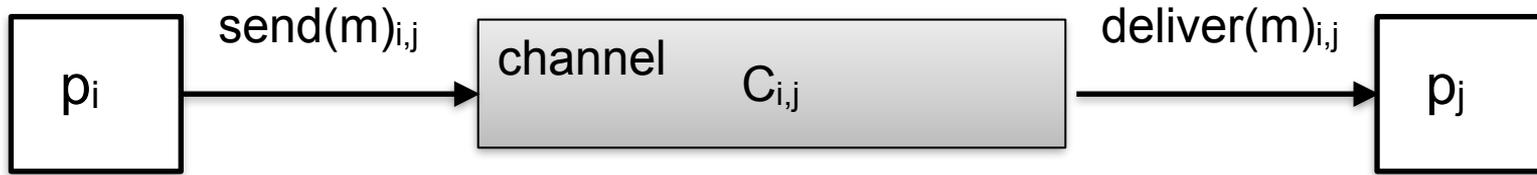
- An I/O automaton executes as follows:
  - Start at some start state.
  - Repeatedly take step from current state to new state.
- Formally, an **execution fragment** is a finite or infinite sequence:
  - $s_0 a_1 s_1 a_2 s_2 a_3 s_3 a_4 s_4 a_5 s_5 \dots$  (if finite, ends in state)
  - ~~$s_0$  is a start state~~
  - $(s_i, a_{i+1}, s_{i+1})$  is a step (i.e., in trans)



# Traces

- Traces allows us to focus on the component's external behavior
- Useful for defining correctness of an algorithm
- A **trace** of an execution is the subsequence of external actions in the execution
  - No states, no internal actions
  - Denoted  $\text{trace}(E)$  where  $E$  is an execution
  - Models **observable behavior** of a component

# Traces: Channel Automaton



- Let  $M = \{1,2\}$
- Three possible executions and **traces**
  1.  $[\lambda], \text{send}(1)_{i,j}, [1], \text{deliver}(1)_{i,j}, [\lambda], \text{send}(2)_{i,j}, [2], \text{deliver}(2)_{i,j}, [\lambda]$
  2.  **$\text{send}(1)_{i,j}, \text{deliver}(1)_{i,j}, \text{send}(2)_{i,j}, \text{deliver}(2)_{i,j}$**
  3.  $[\lambda], \text{send}(1)_{i,j}, [1], \text{deliver}(1)_{i,j}, [\lambda], \text{send}(2)_{i,j}, [2]$
  4.  **$\text{send}(1)_{i,j}, \text{deliver}(1)_{i,j}, \text{send}(2)_{i,j}$**
  5.  $[\lambda], \text{send}(1)_{i,j}, [1], , \text{send}(1)_{i,j}, [11], , \text{send}(1)_{i,j}, [111], \dots$
  6.  **$\text{send}(1)_{i,j}, \text{send}(1)_{i,j}, \text{send}(1)_{i,j}, \dots$**

# Input/output Automata

---

Operations on I/O automata

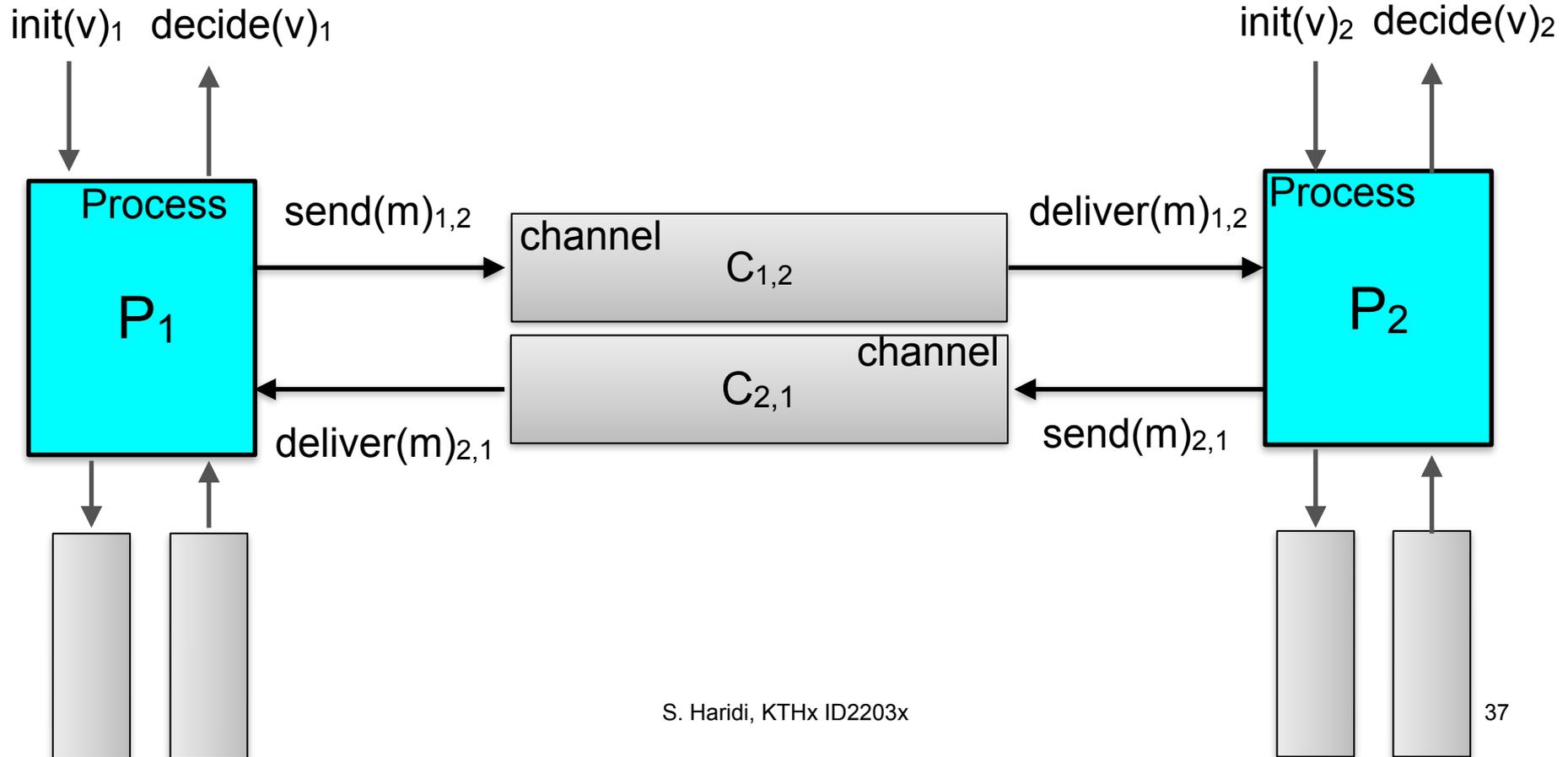




# Composition

- Describes how **systems** are built out of components
- Main operations
  - **Composition** and **hiding of actions**
- **Composition**
  - Putting automata together to form a new automaton
  - Output action of **one** automaton with the **matching input actions** of the others
  - All components **sharing the same action** perform a step together (synchronize on actions)

# Composition of channels and processes



# Composition

- Composing multiple Automata  $\{A_i, i \in I\}$ , requires **compatibility conditions**
- for all  $i, j \in I, i \neq j$ 
  - **Internal actions are not shared**
  - $\text{int}(A_i) \cap \text{acts}(A_j) = \emptyset$
  - **Only one automaton controls each output**
  - $\text{out}(A_i) \cap \text{out}(A_j) = \emptyset$
- However one output may be the input of many others

# Composing Compatible Automata

- Composing Automata  $A = \prod \{A_i, i \in I\}$
- **Output actions** of the components become output actions of the composition
- **Internal actions** of the components become internal actions of the composition
- Actions that are **inputs** to some components but **outputs of none** become input actions of the composition

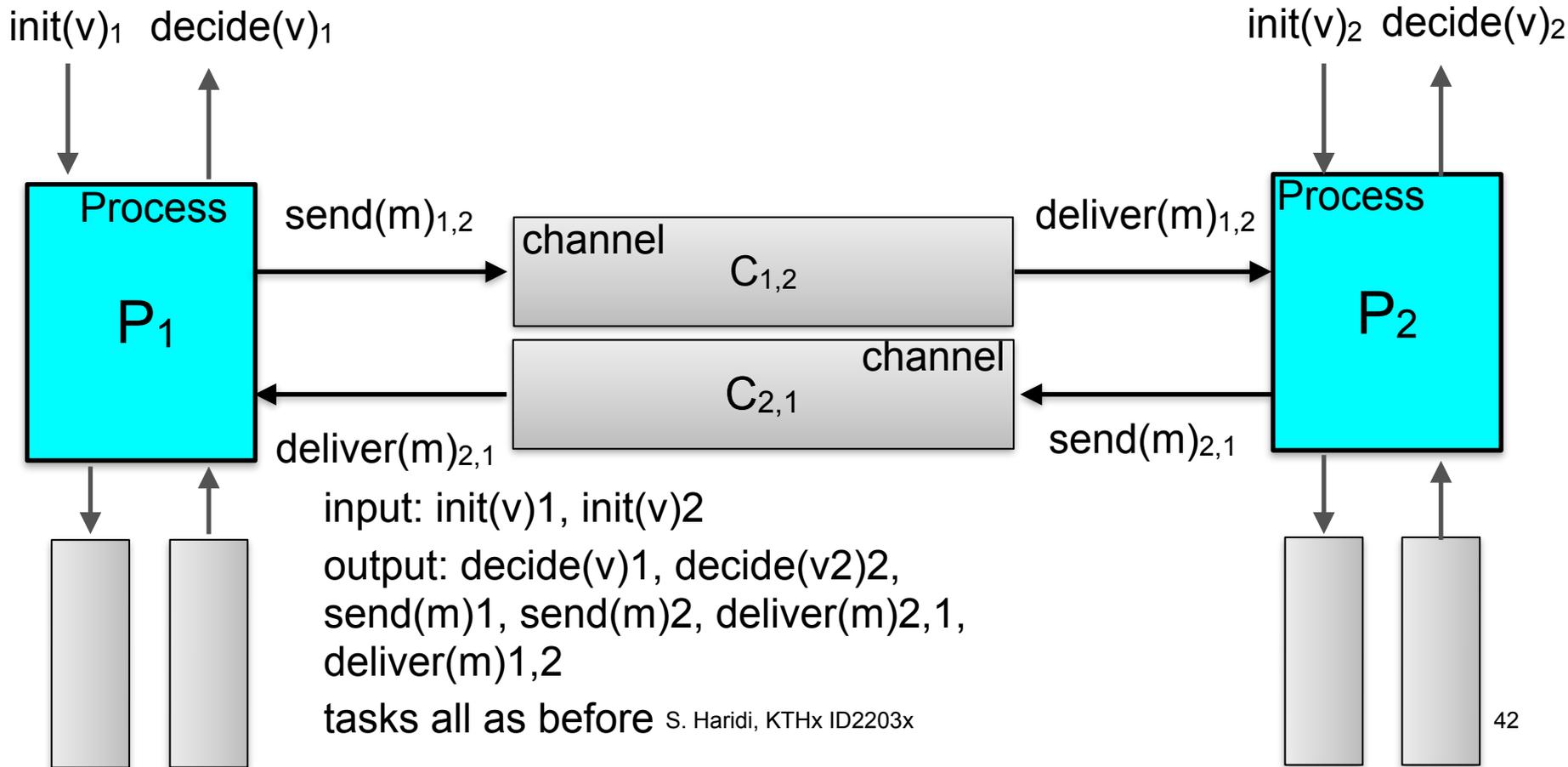
# Composing Compatible Automata

- Composing Automata  $A = \prod \{A_i, i \in I\}$
- **Output actions** of the components become output actions of the composition
  - $\text{out}(A) = \cup \{\text{out}(A_i), i \in I\}$
- **Internal actions** of the components become internal actions of the composition
  - $\text{int}(A) = \cup \{\text{int}(A_i), i \in I\}$
- Actions that are **inputs** to some components but **outputs of none** become input actions of the composition
  - $\text{in}(A) = \cup \{\text{in}(A_i), i \in I\} - \text{out}(A)$

# Composing Compatible Automata

- Composing Automata  $A = \prod \{A_i, i \in I\}$
- the states and start states of the composition are **vectors of component states and start states**, respectively, of the component automata
- $\text{state}(A) = \prod \{\text{state}(A_i), i \in I\}$
- $\text{start}(A) = \prod \{\text{start}(A_i), i \in I\}$
- The task partition of the composition's locally controlled actions is formed by taking the union of the components' task partitions
- $\text{tasks}(A) = \cup \{\text{tasks}(A_i), i \in I\}$

# Composition of channels and processes



# Transitions of Composed Automata

- Composing Automata  $A = \prod \{A_i, i \in I\}$
- In a transition step, all the component automata that have a particular action  $a$  participate simultaneously in  $a$
- Other component automata do nothing
- If  $a$  is **output** of automaton  $A_1$  and  $a$  in input of  $A_2$  and  $A_3$ , but **not sig( $A_4$ )**,
- $A_1, A_2$  and  $A_3$  take part and change their state
- $(s_1, s_2, s_3, s_4) \xrightarrow{a} (s'_1, s'_2, s'_3, s_4)$

# Transitions of Composed Automata

- Composing Automata  $A = \prod \{A_i, i \in I\}$
- $\text{trans}(A)$  is the set of triples  $(s, a, s')$  such that, the elements  $s'_i$  of vector  $s'$  is formed as follows:
  - for all  $i \in I$  if  $a \in \text{acts}(A_i)$ , then  $(s_i, a, s'_i) \in \text{trans}(A_i)$   
otherwise  $s_i = s'_i$
- The component states that change are those participating in the action  $a$

# Transitions of Composed Automata

- Composing Automata  $A = \prod \{A_i, i \in I\}$
- Assume  $(s, a, s') \in \text{trans}(A)$ 
  - if  $a \in \text{int}(A)$  or  $a \in \text{in}(A)$  then only **one state component** is changed in  $s$  to  $s'$
  - if  $a \in \text{out}(A)$  then **multiple state components** may change in  $s'$ , those  $A_i$ 's that participate in  $a$

# Hiding

- Turn **output actions** into **internal actions**
- Prevents outputs of composed automaton of further interaction with other automata under further composition
- Makes those output no longer included in traces
- $S$  is a signature,  $\Sigma \subseteq \text{out}(S)$ ,  **$\text{hide}_\Sigma(S)$**  is  $S'$  where
  - $\text{in}(S') = \text{in}(S)$ ,  $\text{out}(S') = \text{out}(S) - \Sigma$ ,  $\text{int}(S') = \text{int}(S) \cup \Sigma$
- **$\text{hide}_\Sigma(A)$**  is an automaton  $A'$  whose signature is  **$\text{hide}_\Sigma(\text{sig}(A))$**

# Input/output Automata

---

## Example Composition



# Distributed System Example

- In general, let  $I = \{1, \dots, n\}$ 
  - $n$  process automata  $P_i, i \in I,$
  - $n^2$  channel automata  $C_{i,j}, i$  and  $j \in I$
- The composition automaton represents a **distributed system** where processes communicate through reliable FIFO channels
- The system state
  - **state for each process** (each a vector of values, one per process)
  - **a state for each channel** (each a queue of messages in transit)

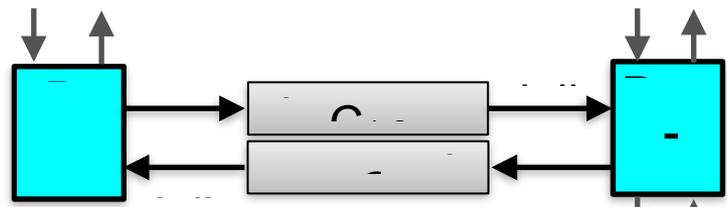


# Distributed System Example

- **Transitions** involve the following actions:
  - $\text{init}(v)_i$  : **input action**, deposits a value in  $P_i$ 's  $\text{val}(i)$  variable
  - $\text{send}(v)_{i,j}$  : **output action**,  $P_i$ 's value  $\text{val}(i)$  gets put into channel  $C_{i,j}$
  - $\text{deliver}(v)_{i,j}$  : **output action**, the first message in  $C_{i,j}$  is removed and simultaneously placed into  $P_j$ 's variable  $\text{val}(i)$
  - $\text{decide}(v)_i$  **output action** at  $P_i$ , announce current computed value
- The execution of these actions (event) defines what happens in this system

# Distributed System Traces

- Sample trace, for  $n = 2$ , where the value set  $V$  is the set natural numbers  $N$  (non-negative integers) and  $f$  is addition:
- $\text{init}(2)_1, \text{init}(1)_2, \text{send}(2)_{1,2}, \text{deliver}(2)_{1,2}, \text{send}(1)_{2,1}, \text{deliver}(1)_{2,1},$   
 $\text{init}(4)_1, \text{init}(0)_2, \text{decide}(5)_1, \text{decide}(2)_2$
- **unique system state** that is **reachable** using this trace
  - P1 has **val** vector  $(4, 1)$  and P2 has **val** vector  $(2, 0)$ ,



	$(\perp, \perp)$	$\square$	$\square$	$(\perp, \perp)$
$\text{init}(2)_1,$	$(2, \perp)$	$\square$	$\square$	$(\perp, \perp)$
$\text{init}(1)_2,$	$(2, \perp)$	$\square$	$\square$	$(\perp, 1)$
$\text{send}(2)_{1,2},$	$(2, \perp)$	$[2]$	$\square$	$(\perp, 1)$
$\text{deliver}(2)_{1,2},$	$(2, \perp)$	$\square$	$\square$	$(2, 1)$
$\text{send}(1)_{2,1},$	$(2, \perp)$	$\square$	$[1]$	$(2, 1)$
$\text{deliver}(1)_{2,1},$	$(2, 1)$	$\square$	$\square$	$(2, 1)$
$\text{init}(4)_1,$	$(4, 1)$	$\square$	$\square$	$(2, 1)$
$\text{init}(0)_2,$	$(4, 1)$	$\square$	$\square$	$(2, 0)$

$\text{decide}(5)_1, \quad 4+1$

$\text{decide}(2)_2 \quad 2+0$

# Input/output Automata

---

## Basic Results of Automata Composition



# Composition versus Components

- Execution or trace of a composition can be **projected** to yield executions or traces of the component automata
- Executions of component automata can be **pasted together** to form an execution of the composition
- Traces of component automata can be **pasted together** to form a trace of the composition

# Similarity of executions

- The **projection of component  $A_i$**  in execution of  $E$  of a composed automata  $A$ , denoted  $E|A_i$ , is
  - the subsequence of execution  $E$  restricted to events (actions) and state of  $A_i$
- Two executions  **$E$  and  $F$  are similar w.r.t  $A_i$**  if
  - $E|A_i = F|A_i$
- Two executions  **$E$  and  $F$  are similar** if
  - $E$  and  $F$  are similar w.r.t every component automaton  $A_i$

# Similarity of traces

- The projection of component  $A_i$  in the trace of  $E$  of composed automata  $A$ , denoted  $\text{trace}(E) \upharpoonright A_i$ , is
  - the subsequence of  $\text{trace}(E)$  restricted to events of  $A_i$
- Two traces  $\text{trace}(E)$  and  $\text{trace}(F)$  are similar w.r.t  $A_i$  if
  - $E \upharpoonright A_i = F \upharpoonright A_i$
- Two traces  $\text{trace}(E)$  and  $\text{trace}(F)$  are similar if
  - $\text{trace}(E)$  and  $\text{trace}(F)$  are similar w.r.t every node

# Projection (process view)

- Given an execution  $E$  of  $A = \prod \{A_i, i \in I\}$ 
  - $E = s_0, a_1, s_2, \dots$
- Projection for  $E$  on  $A_i$ ,  $E \upharpoonright A_i$ 
  - Involves deleting actions that don't belong to  $A_i$ , and the following states, and then projecting the remaining states on the  $A_i$  component
- Projection for sequence of actions  $\beta$  on  $A_i$ ,  $\beta \upharpoonright A_i$ 
  - Involves deleting actions that don't belong to  $A_i$ ,

# Distributed System Traces

- Sample trace, for  $n = 2$ , where the value set  $V$  is the set natural numbers  $N$  (non-negative integers) and  $f$  is addition:
- $\text{init}(2)_1$ ,  $\text{init}(1)_2$ ,  $\text{send}(2)_{1,2}$ ,  $\text{deliver}(2)_{1,2}$ ,  $\text{send}(1)_{2,1}$ ,  $\text{deliver}(1)_{2,1}$ ,  
 $\text{init}(4)_1$ ,  $\text{init}(0)_2$ ,  $\text{decide}(5)_1$ ,  $\text{decide}(2)_2$
- **unique system state** that is **reachable** using this trace
  - P1 has **val** vector (4, 1) and P2 has **val** vector (2, 0),

# Projection of Trace on P1

- Sample trace, for  $n = 2$ , where the value set  $V$  is the set natural numbers  $N$  (non-negative integers) and  $f$  is addition:
- $\text{init}(2)_1, \text{init}(1)_2, \text{send}(2)_{1,2}, \text{deliver}(2)_{1,2}, \text{send}(1)_{2,1}, \text{deliver}(1)_{2,1},$   
 $\text{init}(4)_1, \text{init}(0)_2, \text{decide}(5)_1, \text{decide}(2)_2$
- $\text{init}(2)_1, \text{send}(2)_{1,2}, \text{deliver}(1)_{2,1}, \text{init}(4)_1, \text{decide}(5)_1$
- **unique system state** that is **reachable** using this trace
  - P1 has **val vector**  $(4, 1)$  and P2 has **val vector**  $(2, 0)$ ,

# Composition versus Components

- Execution or trace of a composition **projects** to yield executions or traces of the component automata
- **Theorem Projection**
- Let  $A = \prod \{A_i, i \in I\}$  where  $A_i$  are **compatible**
  - If  $E \in \text{execs}(A)$ , then  $E \upharpoonright A_i \in \text{execs}(A_i)$  for all  $A_i$
  - If  $\beta \in \text{traces}(A)$ , then  $\beta \upharpoonright A_i \in \text{traces}(A_i)$  for all  $A_i$

# Composition versus Components

- Executions of component automata can be **pasted together** to form an execution of the composition
- Suppose  $E_i$  is an execution of  $A_i$ ,  $\beta$  a sequence of external actions of  $A$
- If  $\beta \mid A_i$  is a trace of  $A_i$ , for all  $A_i$ , then there is an execution  $E$  of  $A$ , such that  $\beta$  is the trace( $E$ ) and  $E_i = E \mid A_i$  for all  $A_i$

# Composition versus Components

- Traces of component automata can be **pasted together** to form a trace of the composition
- Suppose  $\beta$  a sequence of external actions of  $A$
- If  $\beta \upharpoonright A_i$  is a trace of  $A_i$ , for all  $A_i$ , then  $\beta$  is a trace of  $A$

# Input/output Automata

---



Fairness



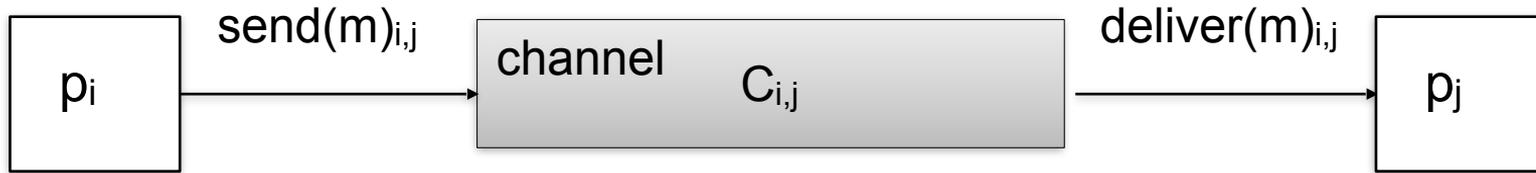
# Tasks and Fairness

- **Task T**
  - set of locally controlled actions
  - corresponds to a “thread of control” used to define “fair” executions
- **Fairness** means
  - A task that is continuously enabled gets to make a transition step
  - Needed to prove progress properties (liveness) of systems

# Fairness Formally

- Formally, **execution** (or fragment)  $E$  of  $A$  **is fair to task**  $T$  if one of the following holds
  - $E$  is finite and  $T$  is not enabled in the final state of  $E$
  - $E$  is infinite and contains infinitely many events in  $T$
  - $E$  is infinite and contains infinitely many states in which  $T$  is not enabled
- **Execution of  $A$  is fair** if it is fair to all tasks of  $A$ 
  - $\text{fairexecs}(A)$  is the set of fair executions of  $A$
- **Trace of  $A$  is fair** if it is the trace of a fair execution of  $A$ 
  - $\text{fairtraces}(A)$  is the set of fair executions of  $A$

# Fair Executions: Channel Automaton



- Let  $M = \{1,2\}$
- Three possible executions and **traces**
  1.  $[\lambda], \text{send}(1)_{i,j}, [1], \text{deliver}(1)_{i,j}, [\lambda], \text{send}(2)_{i,j}, [2], \text{deliver}(2)_{i,j}, [\lambda]$
  2.  **$\text{send}(1)_{i,j}, \text{deliver}(1)_{i,j}, \text{send}(2)_{i,j}, \text{deliver}(2)_{i,j}$**
  3.  $[\lambda], \text{send}(1)_{i,j}, [1], \text{deliver}(1)_{i,j}, [\lambda], \text{send}(2)_{i,j}, [2]$
  4.  **$\text{send}(1)_{i,j}, \text{deliver}(1)_{i,j}, \text{send}(2)_{i,j}$**
  5.  $[\lambda], \text{send}(1)_{i,j}, [1], , \text{send}(1)_{i,j}, [11], , \text{send}(1)_{i,j}, [111], \dots$
  6.  **$\text{send}(1)_{i,j}, \text{send}(1)_{i,j}, \text{send}(1)_{i,j}, \dots$**



# Distributed systems examples

- Consider the fair executions of distributed system example ( $n$  processes and  $n^2$  channels)
  - In every fair execution, every message that is sent is eventually delivered
  - In every fair execution containing at least one  $\text{init}(v)_i$  event for each  $P_i$ , each process sends infinitely many messages to each other process
  - In every fair execution each process performs infinitely many  $\text{decide}$  steps

# Composition versus Components

- **Fair** execution or trace of a composition **projects** to yield **fair** executions or traces of the component automata
- **Theorem Projection**
- Let  $A = \prod \{A_i, i \in I\}$  where  $A_i$  are **compatible**
  - If  $E \in \text{fairexecs}(A)$ , then  $E \upharpoonright A_i \in \text{fairexecs}(A_i)$  for all  $A_i$
  - If  $\beta \in \text{fairtraces}(A)$ , then  $\beta \upharpoonright A_i \in \text{fairtraces}(A_i)$  for all  $A_i$

# Composition versus Components

- **Fair** Executions of component automata can be **pasted together** to form a **fair** execution of the composition
- Suppose  $E_i$  is an fair execution of  $A_i$ ,  $\beta$  a sequence of external actions of  $A$
- If  $\beta \mid A_i$  is a **fair trace** of  $A_i$ , for all  $A_i$ , then there is an **fair execution**  $E$  of  $A$ , such that  $\beta$  is the **fairtrace**( $E$ ) and  $E_i = E \mid A_i$  for all  $A_i$

# Composition versus Components

- **Fair** traces of component automata can be **pasted together** to form a **fair** trace of the composition
- Suppose  $\beta$  a sequence of external actions of  $A$
- If  $\beta \upharpoonright A_i$  is a **fair trace** of  $A_i$ , for all  $A_i$ , then  $\beta$  is a **fair trace** of  $A$

# Input Output Automata

---



## Trace Properties

# Trace Properties

- Properties of input-output automata are formulated as properties of their **fair traces**
- A **trace property  $P$** 
  - **$\text{sig}(P)$**  signature containing no internal actions
  - **$\text{traces}(P)$**  a set of sequences of actions in  $\text{sig}(P)$

# Automaton $A$ satisfied $P$

- Every external behavior that can be produced by  $A$  is permitted by property  $P$
- $A$  satisfies a trace property  $P$  can mean either
  - $\text{extsig}(A) = \text{sig}(P)$  and  $\text{traces}(A) \subseteq \text{traces}(P)$ , or
  - $\text{extsig}(A) = \text{sig}(P)$  and  $\text{fairtraces}(A) \subseteq \text{traces}(P)$

# Example

- Automata  $A$  and trace property  $P$  has
  - $\{0\}$  as input set
  - $\{0,1,2\}$  as output set
- $\text{traces}(P)$ 
  - is the set of all sequences of  $\{0,1,2\}$  that include at least one 1
- $A$  has a task that always output 1
- $\text{fairtraces}(A) \subseteq \text{traces}(P)$
- $\text{traces}(A) \not\subseteq \text{traces}(P)$ 
  - Empty sequence is in  $\text{traces}(A)$

# Safety properties

- A safety property **P** states that some particular "bad" thing never happens in any trace
- A trace property **P** is a safety property if
  - $\text{traces}(\mathbf{P})$  is nonempty
  - if  $\beta \in \text{traces}(\mathbf{P})$  then every finite prefix of  $\beta$  is in  $\text{traces}(\mathbf{P})$ 
    - if nothing bad happens in  $\beta$  then nothing bad happens in a prefix of  $\beta$
  - if  $\beta_1, \beta_2, \dots$  is an infinite sequence of finite traces in  $\text{traces}(\mathbf{P})$  where each  $\beta_i$  is a prefix of  $\beta_{i+1}$  then the limit  $\beta$  is also in  $\text{traces}(\mathbf{P})$ 
    - if something bad happens in (infinite)  $\beta$  then a bad event happens in a finite prefix

# Example

- A trace property **P** has
  - $\text{init}(v)$ :  $v \in V$  as input set
  - $\text{decide}(v)$ :  $v \in V$  as output set
- **traces(P)**
  - is the set of all sequences of  $\text{init}(v)$  and  $\text{decide}(v)$  where no  $\text{decide}(v)$  occurs without a preceding  $\text{init}(v)$

# Liveness properties

- Informally a liveness property is saying that some particular "good" thing eventually happens
- A trace property  $P$  is a liveness property if
  - every finite sequence over  $\text{sig}(P)$  has some extension that is in  $\text{traces}(P)$

# Example

- A trace property **P** has
  - $\text{init}(v)$ :  $v \in V$  as input set
  - $\text{decide}(v)$ :  $v \in V$  as output set
- **traces(P)**
  - is the set of all sequences of  $\text{init}(v)$  and  $\text{decide}(v)$  where for every  $\text{init}(v)$  event in a sequence there is a  $\text{decide}(v)$  event later in the sequence

# Relating safety and liveness

- Two important results
- **Theorems**
  - If  $P$  is both a safety property and a liveness property, then  $P$  is the set of all sequences of actions in  $\text{sig}(P)$
  - If  $P$  is an arbitrary trace property with  $\text{traces}(P) \neq \emptyset$ , then there exist a safety property  $S$  and a liveness property  $L$  such that
    - $\text{traces}(P) = \text{traces}(S) \cap \text{traces}(L)$